



Public Record Office Standard

PROS 07/03

Authority

Retention & Disposal Authority for Records of the Victorian Government Printer

Version 2018

07/03
Variation 1
Variation 2

Issue Date: 18/05/2007
Issue Date: 03/02/2017
Issue Date: 19/11/2018

Expiry Date: 18/05/2017
Expiry Date: 30/06/2018
Expiry Date: 30/06/2021

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Public Records Act 1973

(Section 12)

Retention and Disposal Authority for Records of the Victorian Government Printer

Public Record Office Standard (PROS) 07/03

Variation 1:

In accordance with section 12 of the *Public Records Act 1973* (as amended), I hereby vary the Standard applying to the Retention and Disposal Authority for Records of the Victorian Government Printer, issued as Public Record Office Standard (PROS) 07/03 on 18/05/2007, as follows:

Extension of the application of this Standard until 30/06/2018

This Variation shall have effect from its date of issue.

[Signed]

Justine Heazlewood

Date: 03/02/2017

Director and Keeper of Public Records

Public Records Act 1973

(Section 12)

Retention and Disposal Authority for Records of the Victorian Government Printer

Public Record Office Standard (PROS) 07/03

Variation 2:

In accordance with section 12 of the *Public Records Act 1973* (as amended), I hereby vary the Standard applying to the Retention and Disposal Authority for Records of the Victorian Government Printer, issued as Public Record Office Standard (PROS) 07/03 on 18/05/2007, as follows:

Extension of the application of this Standard until 30/06/2021

This Variation shall have effect from its date of issue.

[signed]

Justine Heazlewood

Date: 19/11/2018

Director and Keeper of Public Records

Table of Contents

1	Introduction	5
1.1	Purpose of this Authority	5
1.2	Context of this Authority	5
1.2.1	Public Record Office Victoria Standards	5
1.2.2	Disposal of records identified in the Authority	5
1.2.3	Transfer of records to Public Record Office Victoria.....	6
1.2.4	Normal Administrative Practice	6
1.3	Use of Other Authorities	6
1.4	Explanation of Authority Headings.....	7
2	Concurrence of Public Office	8
3	Establishment of Standard	8
4	Acknowledgments	8
5	Further Information	8
6	Retention & Disposal Authority	9

Under section 12 of the *Public Records Act 1973*, the Keeper of Public Records is responsible for the establishment of standards for the efficient management of public records and for assisting public offices to apply those standards to records under their control. Officers in charge of public offices are responsible under section 13 of the Act for carrying out, with the advice and assistance of the Keeper, a program of records management in accordance with the standards established under section 12 of the Act.

1 Introduction

1.1 Purpose of this Authority

The purpose of this Authority is to provide a mechanism for the disposal of public records in accordance with the *Public Records Act 1973*.

The Authority:

- identifies records which are worth preserving permanently as part of Victoria's archival heritage
- prevents the premature destruction of records which need to be retained for a specified period to satisfy legal, financial and other requirements of public administration, and
- authorises the destruction of those records not required permanently.

1.2 Context of this Authority

1.2.1 Public Record Office Victoria Standards

This Authority should be used in conjunction with the standards issued by the Keeper of Public Records under section 12 of the *Public Records Act 1973*. Copies of all relevant PROV standards, specifications and regulatory advice can be downloaded from www.prov.vic.gov.au. These documents set out the procedures that must be followed by Victorian public offices.

1.2.2 Disposal of records identified in the Authority

Disposal of public records identified in this Authority must be undertaken in accordance with the requirements of Public Record Office Standard PROS 10/13 *Disposal*.

It is a criminal offence to unlawfully destroy a public record under s 19(1) of the *Public Records Act 1973*.

The destruction of a public record is not unlawful if done in accordance with a Standard established under s 12 of the *Public Records Act 1973*.

This Standard (also known as an Authority) authorises the disposal of public records as described within its provisions. However, disposal is **not** authorised under this Standard if it is reasonably likely that the public record will be required in evidence in a current or future legal proceeding.

For the purposes of this Retention and Disposal Authority, a 'legal proceeding' has the same meaning as the *Evidence (Miscellaneous Provisions) Act 1958*, and includes any civil, criminal or mixed proceeding and any inquiry in which evidence is or may be given before any court or person acting judicially, including a Royal Commission or Board of Inquiry under the *Inquiries Act 2014*.

If the public office identifies that public records must be retained under other applicable legislation for a period that exceeds the retention period specified under the Standards, then the longer retention period must apply.

1.2.3 Transfer of records to Public Record Office Victoria

Contact Public Record Office Victoria for further information on procedures for transferring permanent records to archival custody.

1.2.4 Normal Administrative Practice

The destruction of some public records is permitted without final authorisation under normal administrative practice (NAP). NAP covers the destruction of ephemeral material of a facilitative nature created, acquired or collected by public officers during the course of their duties.

The following material may be destroyed under NAP:

- working papers consisting of rough notes and calculations used solely to assist in the preparation of other records such as correspondence, reports and statistical tabulations
- drafts not intended for retention as part of the office's records, the content of which has been reproduced and incorporated in the public office's record keeping system
- extra copies of documents and published material preserved solely for reference.

1.3 Use of Other Authorities

In applying the disposal sentences set out in this Authority, reference should be made to other current Authorities where applicable. Where there is a conflict between two Authorities (for instance this Authority and the General Retention and Disposal Authority for Records of Common Administrative Functions), consult the Public Record Office Victoria for advice.

1.4 Explanation of Authority Headings

CLASS NUMBER

The class number or entry reference number provides citation and ease of reference.

DESCRIPTION

The description of each record class is specified in this entry. A record class is a group of records that relate to the same activity, function or subject and require the same disposal action.

STATUS

This entry provides the archival status of each class - either permanent or temporary.

CUSTODY

This entry specifies whether the records are to be retained by the public office or transferred to the Public Record Office Victoria.

Permanent electronic records are to be transferred in VERS Encapsulated Object (VEO) format according to PROS 99/007 *Management of Electronic Records* (Version 2).

The storage of public records identified in this Authority must also be in accordance with the requirements of Public Record Office Standard PROS 11/01 *Storage*.

2 Concurrence of Public Office

This Authority has the concurrence of:

Name: Eamonn Moran

Date: 17/05/2007

Signature: [Signed]

Position: Chief Parliamentary
Counsel and Government Printer

3 Establishment of Standard

Pursuant to Section 12 of the *Public Records Act 1973*, I hereby establish these provisions as a Standard (also known as a Retention and Disposal Authority) applying to the records of the Office of the Government Printer.

This standard as varied or amended from time to time, shall have effect for a period of ten (10) years from the date of issue unless revoked prior to that date.

[Signed]

Keeper of Public Records

Date of Issue: 18/05/2007

Justine Heazlewood

4 Acknowledgments

PROV would like to thank the following people for providing their knowledge and expertise and helping to create this Authority:

Terry Evans Office of the Chief Parliamentary Counsel

Sam Portelli Office of the Chief Parliamentary Counsel

5 Further Information

You can obtain relevant publications, supplies of relevant forms, and answers to any enquiries you may have by first contacting your agency's records manager or the Public Record Office Victoria:

Public Record Office Victoria

☎ (03) 9348 5600

e-mail: agency.queries@prov.vic.gov.au

web: www.prov.vic.gov.au

6 Retention & Disposal Authority

Retention & Disposal Authority			
CLASS NO.	DESCRIPTION	DISPOSAL ACTION	
		STATUS	CUSTODY
1.0.0	PUBLISHED LEGISLATION Acts and regulations published under the authority of the Government Printer.		
1.1.0	Acts and Statutory Rules Master set of individual and Bound volumes of acts and statutory rules printed under the authority of the Government Printer	Permanent Retain as State Archives	Transfer hard copy to PROV when administrative use has concluded. Electronic records are to be transferred in VEO format
1.2.0	Reprints of Principal Acts and Regulations Master set of published reprints of acts and regulations (consolidating amendments with principal act at a given point in time).	Permanent Retain as State Archives	Transfer hard copy to PROV when administrative use has concluded. Electronic records are to be transferred in VEO format
1.3.0	Published legislation – Duplicate copies Duplicate copies of acts and regulations published under the authority of the Government Printer.	Temporary Destroy when administrative use has concluded.	Retention & Disposal Authority for Records of the Victorian Government Printer

Retention & Disposal Authority			
CLASS NO.	DESCRIPTION	DISPOSAL ACTION	
		STATUS	CUSTODY
2.0.0	<p>LEGISLATIVE INFORMATION PUBLICATIONS</p> <p>Publications issued under the authority of the Government Printer providing information to assist identification and / or status of relevant legislation.</p>		
2.1.0	<p>Index to Subject Matter of Victorian Legislation</p> <p>Master set of annual indexes based on the main subjects, terms and concepts found in Victorian legislation referencing relevant acts and regulations.</p>	Permanent Retain as State Archives	Transfer hard copy or electronic copy to PROV when administrative use has concluded. Electronic records are to be transferred in VEO format
2.2.0	<p>Annual Acts and Statutory Rules Tables</p> <p>Annual published legislative information relating to the currency / status of legislation.</p> <p>Annual tables showing list of current and repealed acts and statutory rules by title, year and number.</p>	Permanent Retain as State Archives	Hold in agency or APROSS pending destruction. Electronic records are to be transferred in VEO format
2.3.0	<p>Interim Acts and Statutory Rules Tables and Victorian Legislative Update (VLU)</p> <p>Interim and weekly published legislative information relating to the currency / status of legislation.</p> <p>Includes interim tables published mid-year showing list of current and repealed acts and statutory rules by title, year and number. Also includes the weekly update (Victorian Legislative Update) listing new and proclaimed acts and regulations, amendments and reprints</p>	Temporary Destroy when administrative use has concluded.	Hold in agency or APROSS pending destruction. Electronic records should be maintained in readable format pending destruction.

Retention & Disposal Authority			
CLASS NO.	DESCRIPTION	DISPOSAL ACTION	
		STATUS	CUSTODY
2.4.0	<p>Legislative information publications – Duplicate copies</p> <p>Duplicate copies of legislative information publications issued under the authority of the Government Printer. Includes duplicates of annual and interim acts and statutory rules tables, and the Victorian Legislative Update.</p>	<p>Temporary</p> <p>Destroy when administrative use has concluded.</p>	<p>Hold in agency or APROSS pending destruction.</p> <p>Electronic records should be maintained in readable format pending destruction.</p>

Retention & Disposal Authority			
CLASS NO.	DESCRIPTION	DISPOSAL ACTION	
		STATUS	CUSTODY
3.0.0	GOVERNMENT GAZETTE The Victoria Government Gazette provides the official vehicle for notification of decisions or actions taken by (or information from) the Governor of Victoria, government authorities and departments, local councils, companies and individuals.		
3.1.0	Government Gazettes – Master Set Master set of bound published government gazettes. Includes weekly, Special and Periodical Gazettes and indexes.	Permanent Retain as State Archives	Transfer hard copy or electronic copy to PROV when administrative use has concluded. Electronic records are to be transferred in VEO format
3.2.0	Government Gazettes – Working papers Working papers used to compile government gazettes and indexes. Includes production drafts, correspondence and instructions from government departments, local government, companies and individuals.	Temporary Destroy 7 years after publication	Hold in agency or APROSS pending destruction. Electronic records should be maintained in readable format pending destruction.

Retention & Disposal Authority			
CLASS NO.	DESCRIPTION	DISPOSAL ACTION	
		STATUS	CUSTODY
3.3.0	Government Gazettes – Duplicate copies Duplicate copies of Bound government gazettes and indexes	Temporary Destroy when administrative use has concluded.	Hold in agency or APROSS pending destruction. Electronic records should be maintained in readable format pending destruction.